

Evidence of Transfer  
EVIDENCE OF TRANSFER OF CLAIM



TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court  
*MARY Lopez (Case Manager)*  
AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 62901 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"):

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
2YR HSBC HLDGS LEHMAN BROTHERS HOLDINGS PLC DAILY ACC ELN	XS0276437521	LEHMAN BROTHERS TREASURY CO. BV	LEHMAN BROTHERS HOLDINGS INC	USD 200,000

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

**SOCIETE GENERALE BANK & TRUST, SINGAPORE BRANCH, ("Transferor")** hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

**SG SECURITIES (HK) LTD**  
Level 34, Three Pacific Place, 1 Queen's Road East, Hong Kong

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated

**SOCIETE GENERALE BANK & TRUST SINGAPORE BRANCH**  
Transferor

By:

Name: Ng Kok Kham / Ho Peng Peng  
Title: Executive Director / Associate Director

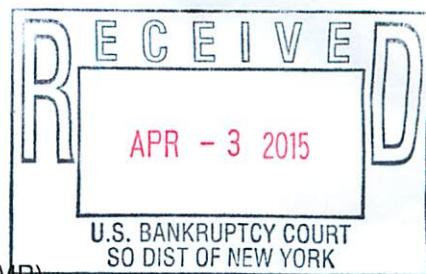
ACKNOWLEDGED BY:

**SG SECURITIES (HK) LTD**

Transferee

By:

Name: Queenie Cheung  
Title: DIRECTOR



Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)  
Court ID (Court Use Only)

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

Name of Transferee: SG SECURITIES (HK) LTD	Name of Transferor: SOCIETE GENERALE BANK & TRUST SINGAPORE BRANCH
Notices to Transferee should be sent to:  SG SECURITIES (HK) LTD Level 34, Three Pacific Place, 1 Queen's Road East, Hong Kong Attn: OPR/CMO/SEC (Head of Back Office) Tel: (852) 2166 4501 Email: HKG-Sgc-Opr-Settlement@SGCIB.COM	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor  SOCIETE GENERALE BANK & TRUST SINGAPORE BRANCH 1 Raffles Quay #35-01 North Tower Singapore 048583 Attn: Head of Operations Tel: (65) 6303 3922 Email: kok-kham.ng@sgprivasia.com
Amount of Claim Being Transferred:  USD200,000 (face amount of securities)	
Court Claim No. (if known): 62901	
Date Claim Filed: November 2, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

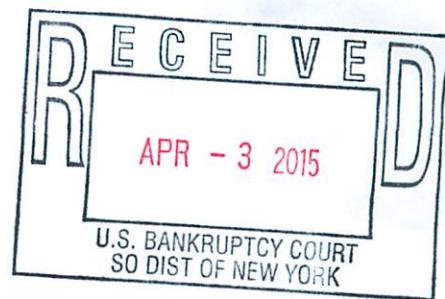
By: SG SECURITIES (HK) LTD

Date: 30 MARCH 2015

Level 34, Three Pacific Place, 1 Queen's Road East, Hong Kong

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 &3571.* As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

B 210A (Form 210A) (12/09)



## UNITED STATES BANKRUPTCY COURT

Southern District of New York

In re Lehman Brothers Holdings Inc.,

Case No. 08-13555 (JMP)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

SG SECURITIES (HK) LTD

Name of Transferee

Name and Address where notices to transferee should be sent:  
Level 34, Three Pacific Place, 1 Queen's Road East,  
Hong Kong  
Attn: OPR/CMO/SEC (Head of Back Office)

Phone: (852) 2166 4501

Last Four Digits of Acct #: 4001

Name and Address where transferee payments should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

SOCIETE GENERALE BANK & TRUST SINGAPORE BRANCH

Name of Transferor

Court Claim # (if known): 62901

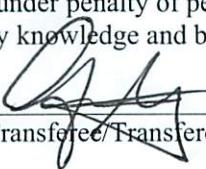
Amount of Claim: \$200,000.00

Date Claim Filed: 11/02/2009

Phone: (65) 6303 3922

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: 30 MARCH 2015

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*